Electronic Acknowledgement Receipt					
EFS ID:	5158616				
Application Number:	10775868				
International Application Number:					
Confirmation Number:	1175				
Title of Invention:	Insider trading risk management				
First Named Inventor/Applicant Name:	David Lawrence				
Customer Number:	28062				
Filer:	Walter G. Hanchuk/Kimberly Giuliano				
Filer Authorized By:	Walter G. Hanchuk				
Attorney Docket Number:	G08.130/U				
Receipt Date:	15-APR-2009				
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Application Type:	Utility under 35 USC 111(a)				
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Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1920
RAM confirmation Number	2536
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Amendment After Final	17209_311CP1_OAResponse. pdf	456050	no	12
			29ad7fddf3d3fedeb6365c983f601fe960c6a 27a		
Warnings:					
Information	1				
2	Extension of Time	17209_311CP1_Time_Extensio	56798	no	2
2	Extension of fillie	n.pdf	04419d64c3d00c99c4148166a38cbdfdbe6 7aaf5	110	
Warnings:					
Information					
3	Request for Continued Examination (RCE)	RCE_17209_311CP1_v1.pdf	118430	no	1
			12782caf8f38f94d0932b45d9e4d7db225a2 4b6b		
Warnings:					
This is not a US	SPTO supplied RCE SB30 form.				
Information					
4	Fee Worksheet (PTO-06)	fee-info.pdf	31918	. no	2
			72ccf6ab1b488bba090d054c0e05cbdbc66 3d11b		
Warnings:					
Information	•				
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			1		

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.